# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of McMillan et al.

) Examiner: Not yet assigned

)

Group Art Unit: Not yet assigned

PATENT

Serial No: Not yet assigned

Customer No.: 25213

Filed: November 7, 2001

For: DEVICE FOR LYSING CELLS, SPORES, OR MICROORGANISMS

INFORMATION DISCLOSURE STATEMENT

Docket No.: 22660-0019 DIV 4

TRANSMITTAL

EL 852 464 108 US Express Mail Label No.: Mailed in Palo Alto, CA on: November T

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached form PTO-1449 and request that they be considered by the Examiner. Each of the references cited on the attached was previously cited by or submitted to the PTO in prior application Serial No. 09/331,911 filed June 25, 1999. The prior application is relied upon for an earlier filing date under 35 U.S.C. \$120, therefore copies of the references are not enclosed.

This Information Disclosure Statement is being filed Under 37 C.F.R. §1.97(b)(3), therefore no fee is due with this communication. The Commissioner is authorized to charge any fees which may be required under 37 CFR \$1.16 or \$1.17, to Deposit Account No. 08-1641, referencing Docket No. 22660-0019 DIV 4.

Respectfully submitted,

November 7 2001

William Schmonsees

Registration No. 31,796

Heller Ehrman White & McAuliffe LLP 275 Middlefield Road

Menlo Park, CA 94025-3506 Direct Dial: (650) 324-7104

Facsimile: (650) 324-0638

# IN THE UNITED STATES PATEN OF TRADEMARK OFFICE ATTORNEY DO

# INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR \$1.56, \$1.97, and \$1.98 PTO-1449 FORM

22660-0019 DIV 4

SERIAL NO.:

Not yet assigned

APPLICANTS: McMillan et al.

GROUP ART UNIT:

Not yet assigned

FILING DATE:

November 7, 2001

Sheet 1 of 4

EX'R NITIA	*REF.	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate
L	*A1	3,799,742	3/1974	C.M. Coleman	23-253R	
	*A2	4,426,451	1/1984	R.L. Columbus	436/518	
	*A3	4,642,220	2/1987	Björkman	422/101	
	*A4	4,789,628	12/1988	Nayak	435/7	
	*A5	4,855,240	8/1989	Rosenstein et al.	436/514	
	*A6	4,891,120	1/1990	Sethi et al.	204/600	-
	*A7	4,895,500	1/1990	Hok et al.	417/566	
	*A8	4,908,112	3/1990	Pace	204/299	
	*A9	4,908,318	3/1990	Lerner	435/270	
	*A10	4,915,812	4/1990	Parce et al.	204/403	
	*A11	4,918,025	4/1990	G. Grenner	436/165	
	*A12	4,921,952	5/1990	Longmire et al.	536/27	
	*A13	4,923,978	5/1990	McCormick et al.	536/27	
	*A14	4,963,498	10/1990	Hillman et al.	436/69	
	*A15	4,983,523	1/1991	Li et al.	435/173	
	*A16	5,061,446	10/1991	J. Guigan	422/64	
	*A17	5,114,858	5/1992	Williams et al.	435/270	
	*A18	5,124,444	6/1992	Van Ness et al.	536/27	
	*A19	5,155,018	10/1992	Gillespie et al.	435/91	
	*A20	5,188,963	2/1993	Stapleton	435/299	
	*A21	5,207,988	5/1993	F.V. Lucas	422/73	
	*A22	5,223,219	6/1993	Subramanian et al.	422/55	
	*A23	5,229,297	7/1993	Schnipelsky et al.	436/94	
	*A24	5,234,809	8/1993	Boom et al.	435/91	
	*A25	5,296,375	3/1994	Kricka et al.	435/291	
	*A26	5,304,487	4/1994	Wilding et al.	435/291	
	*A27	5,330,916	7/1994	Williams et al.	435/311	
	*A28	5,342,931	8/1994	Woodard et al.	536/25.4	
	*A29	5,352,777	10/1994	Jhingan	536/25.4	
	*A30	5,374,522	12/1994	Murphy et al.	435/6	
	<del> </del>		12/1994	Murphy et al.	435/6	
	*A31 *A32	5,374,533 5,422,241	6/1995	Goldrick et al.	435/6	
		5,427,663	6/1995	Austin et al.	204/180.1	
	*A33	5,427,883	6/1995	Kricka et al.	435/291	
	*A34	5,427,948	8/1995	Woodard et al.	536/25.4	
	*A35	5,443,890	8/1995	Öhman	428/167	
	*A36	5,458,761	10/1995	Kamahori et al.	204/602	
	*A37	5,438,761	3/1996	Kaltenbach et al.	422/70	

# IN THE UNITED STATES PATEN OF TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR \$1.56, \$1.97, and \$1.98 PTO-1449 FORM

ATTORNEY DO

22660-0019 DIV 4

SERIAL NO.:

Not yet assigned

APPLICANTS: McMillan et al.

FILING DATE:

GROUP ART UNIT:

November 7, 2001

Not yet assigned

Sheet 2 of 4

## T.S. PATENT DOCUMENTS

*A39	5,534,054	7/1996	Woodard et al.	106/287.11
*A40	5,541,400	6/1997	Kaltenbach et al.	204/451
*A41	5,543,305	8/1996	Cummins et al.	435/91.1
*A42	5,580,435	12/1996	Kovacs	204/603
*A43	5,580,794	12/1996	M.P. Allen	436/169
*A44	5,585,069	12/1996	Zanzucchi et al.	422/100
*A45	5,587,128	12/1996	Wilding et al.	422/50
*A46	5,605,662	2/1997	Heller et al.	422/68.1
*A47	5,616,701	4/1997	Woodard et al.	536/25.4
*A48	5,639,423	6/1997	Northrup et al.	122/50
*A49	5,639,428	6/1997	H.V. Cottingham	422/112
	5,641,400	6/1997	Kaltenbach et al.	204/451
*A50			Henco et al.	435/270
*A51	5,652,141	7/1997	Woodard et al.	536/25.4
*A52	5,693,785	12/1997	Hartley	156/345
*A53	5,705,018	1/1998	Hansmann et al.	435/6
*A54	5,707,799	1/1998	Yager et al.	436/172
*A55	5,716,852	2/1998		204/452
*A56	5,741,639	4/1998	Ensing et al.	204/432
*A57	5,770,029	6/1998	Nelson et al.	554/175
*A58	5,777,141	7/1998	Brunner et al.	
*A59	5,842,787	12/1998	Kopf-Sill et al.	366/340
*A60	5,843,767	12/1998	Kenneth L. Beattie	435/287.1
*A61	5,846,727	12/1998	Soper et al.	204/451
*A62	5,856,174	1/1999	Lipshutz et al.	435/286.5
*A63	5,858,188	1/1999	Soane et al.	204/450
*A64	5,863,502	1/1999	Southgate et al.	422/58
*A65	5,863,801	1/1999	Southgate et al.	436/63
*A66	5,869,004	2/1999	Parce et al.	422/100
*A67	5,874,046	2/1999	Megerle	02/99
*A68	5,880,071	3/1999	Parce et al.	204/453
*A69	5,921,678	7/1999	Desai et al.	366/336
*A70	5,928,880	7/1999	Wilding et al.	435/7.21
*A71	5,972,710	10/1999	Weigl et al.	436/34
*A72	6,042,709	3/2000	Parce et al.	204/453
*A73	6,068,752	5/2000	Dubrow et al.	204/604
*A74	6,080,295	6/2000	Parce et al.	204/451
*A75	6,090,545	7/2000	Wohlstadter et al.	435/6
*A76	6,100,084	8/2000	Miles et al.	435/306.1
*A77	6,153,073	11/2000	Dubrow et al.	204/453
*A78	6,156,273	12/2000	Regnier et al.	422/70

# IN THE UNITED STATES PATEN OF TRADEMARK OFFICE ATTORNEY DO INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR \$1.56, \$1.97, and \$1.98 PTO-1449 FORM Sheet 3 of 4 U.S. PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS

T NO.:

22660-0019 DIV 4

SERIAL NO.:

Not yet assigned

APPLICANTS: McMillan et al.

FILING DATE:

GROUP ART UNIT:

November 7, 2001

Not yet assigned

1						
*A7	<u> </u>	6,168,948	1/2000	Anderson et al.	435/287.2	
<u>"^</u> "	٠ ١	0,100,510	_,			

† EX'R INITIA L	*REF.	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
	*B1	GB 938,163	10/1963	GB	
	*B2	EP 0 271 448	6/1988	EP	
	*B3	EP 0 337 690	10/1989	EP	
	*B4	EP 0430 248 A2	6/1991	EP	
	*B5	WO 92/05442	4/1994	PCT	
	*B6	WO 95/12808	5/1995	PCT	
	*B7	EP 0 576 602 B1	11/1995	EPO	
	*B8	WO 96/07954	3/1996	PCT	
	*B9	WO 96/12541	5/1996	WO	
	*B10	DE 19519015	9/1996	DE	
	*B11	WO 97/02357	1/1997	PCT	
	*B12	WO 98/08594	3/1998	PCT	
	*B13	WO 98/10277	3/1998	PCT	
	*B14	EP 0123456-A2	1/2000	EPO	
				·	

## OTHER DOCUMENTS

† EX'R INITIA L	*REF.	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
		Anderson et al., "Microfluidic Biochemical Analysis System", Transducers '97, Int'l Conf. on SolidOState Sensors and Actuators, Chicago June 16-19 (1997), pg. 477-480.
	*C2	Brody et al., "Deformation and Flow of Red Blood Cells in a Synthetic Lattice: Evidence for an Active Cytoskeleton", Biophysical Journal 68:2224-2232 (1995)
	*C3	Brody et al., "Diffusion-Based Extraction in a Microfabricated Device", Sensors and Actuators A 58:13-18 (1997)
	*C4	Branebjerg et al., "Fast Mixing by Lamination", Proceedings of the Conference on MEMS, 11- 15 February 1996, San Diego , CA
	*C5	Buck, George E. et al., Rapid, Simple Method for Treating Clinical Specimens Containing  Mycobacterium tuberculosis To Remove DNA for Polymerase Chain Reaction Journal of Clinical  Microbiology, May 1992, p.1331-1334.
	*C6	Cuypers et al., "The NucliSens™ Extractor for Automated Nucleic Acid Isolation", Infusionsther Transfusionsmed, 1998;25:147-151.
	*C7	Klaassen et al., "Silicon Fusion Bonding and Deep Reactive Ion Etching: A New Technology for Microstructures", Sensors and Actuators A 52:132-139 (1996)

•		<u> </u>					
IN T	E UNIT	TED STATES PATER ND TRADEMARK OFFICE	ATTORNEY DO TT NO.:	SERIAL NO.:			
INFORMATION DISCLOSURE STATEMENT			22660-0019 DIV 4	Not yet assigned			
τ	JNDER	37 CFR §1.56, §1.97, and §1.98					
PTO-1449 FORM			APPLICANTS: McMillan et al.				
			FILING DATE:	GROUP ART UNIT:			
			November 7, 2001	Not yet assigned			
		Sheet 4 of 4					
		OTHER DO	CUMENTS				
	*C8	Maluf, N., "Silicon Fusion Bonding Plus Devices 2:4-5 (1997)	DRIE Delivers Design Flexi	bility", Micromachine			
	*,C9	B. Sanz et al., Effect of Ultrasonic Waves on the Heat Resistance Of Bacillus  Stearothermophilus Spores Fundamental And Applied Aspects of Bacterial Spores, 1985, pp.  251-259					
:							
			Iname GOVERNING				
EXAMINER'S SIGNATURE			DATE CONSIDERED				

329151 v01.SV (71Z301!.DOC) 11/6/01 7:35 PM (22660.0019)

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).